

For More Information

For more information about the supporting role we play in your financial organization's approach to your investment success, please contact your financial organization.



Working Behind the Scenes

IN SUPPORT OF YOUR FINANCIAL ORGANIZATION

Pershing[®]

AN AFFILIATE OF THE BANK OF NEW YORK MELLON

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Pershing LLC, member FINRA, NYSE, SIPC.

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The world of investing is becoming more dynamic each day, offering greater opportunities, accompanied by increasingly complicated choices. Your financial organization stands beside you, helping you to manage the growing complexity of your long-term investments.

In the same way that you look to your financial organization for solutions that help you to make insightful investment decisions, your financial organization employs specialists and experts to help them keep pace with the fast-changing financial marketplace.

So, who is helping your financial organization manage the inner workings of your investment program? Who provides the foundation for efficient trade execution and processing, current analytical tools, and innovative technological advancement?

Our Supporting Role

Pershing works behind the scenes on behalf of your financial organization to support your investment success. We apply nearly 70 years of experience to the development of top-tier investment products and services, and continually enhance these programs to give you access to a portfolio of highly competitive tools through your financial organization. Our enterprising spirit pushes us to invent cutting-edge technology solutions that help your financial organization deliver a seamless investment experience, and foster your long-term success.

To harness the most advanced investment capabilities available, your financial organization may elect to use any number of brokerage-related services that we offer. Thanks to our advanced technological resources, we are able to provide everything from fast, precise trade execution and settlement to the accurate, timely preparation of your brokerage account information and statements.

In addition, we pride ourselves on enabling your financial organization to offer a broad spectrum of leading-edge solutions, including: Internet-, wireless-, and telephone-based investment channels; asset management accounts; retirement products; managed account programs; high-net-worth solutions; fee-based brokerage accounts; a no-transaction-fee mutual fund program; an annuity program, and more.

How Do You Benefit?

When your financial organization employs Pershing, you can count on:

- › **Significant Resources.** Pershing LLC is a subsidiary of The Bank of New York Mellon Corporation, with equity capital of \$11.53 billion and total assets of \$99.85 billion. Pershing has a staff of more than 4,700 experienced professionals who are focused on supporting your financial organization—and you.
- › **Quality Service.** With Pershing's sophisticated technology in place, your financial organization can minimize the time spent on administration and processing, and focus on your individual investment program.
- › **Product and Service Diversity.** Pershing's full array of innovative financial products and services gives your financial organization the tools it needs to help you meet your specific investment objectives.
- › **Data Integrity.** Pershing's comprehensive security methods, extensive back-up systems, and well-developed contingency plans safeguard your personal data and account information.
- › **Privacy.** Pershing recognizes the importance of protecting the confidentiality of your personal information, and maintains physical, electronic, and procedural safeguards to protect your privacy.