

# USER ID AND E-DELIVERY REQUEST

Financial Organization Name: \_\_\_\_\_

## I. INSTRUCTIONS

You may use this form to:

- Create a User ID and password for online access
- Provide instructions to establish electronic delivery of brokerage account statements and/or trade confirmations
- Link additional accounts to an existing User ID
- Change delivery instructions for accounts linked to an existing User ID

Your financial organization will provide you with the name of the web site through which you will access your accounts.

## II. ACCOUNT OWNER INFORMATION

Please complete the following information for the primary account owner. All fields are required.

FIRST NAME \_\_\_\_\_ LAST NAME \_\_\_\_\_

DATE OF BIRTH \_\_\_\_\_ MOTHER'S MAIDEN NAME \_\_\_\_\_

E-MAIL ADDRESS (a valid e-mail address must be provided for delivery of notification e-mails) \_\_\_\_\_

If you have an existing User ID, please provide it here: \_\_\_\_\_

If you are requesting a new User ID, indicate your first and second choices below. User IDs must have a minimum of 7 and maximum of 15 characters.

USER ID DESIRED (FIRST CHOICE) \_\_\_\_\_ USER ID DESIRED (SECOND CHOICE) \_\_\_\_\_

## III. ACCOUNT INFORMATION AND CONSENT TO ELECTRONIC DELIVERY

Please provide information regarding the accounts you wish to view online. When you elect to receive electronic delivery of trade confirmations and/or account statements, you will no longer receive paper copies of these documents via postal mail. You must read and agree to the Electronic Delivery Agreement in Section IV and sign in Section V.

For U.S. accounts, the Social Security number of the primary account holder listed in Section II must be affiliated, either as an account owner or participant, on the account numbers provided below. If the primary account holder above is not an owner on all accounts listed below, each account owner must sign in Section V.

	Account Access	Electronic Delivery	
	Link Account	Account Statements	Trade Confirmations
<input type="text"/> - <input type="text"/> PRIMARY ACCOUNT NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/> - <input type="text"/> ACCOUNT NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/> - <input type="text"/> ACCOUNT NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/> - <input type="text"/> ACCOUNT NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/> - <input type="text"/> ACCOUNT NUMBER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please attach an additional sheet if you wish to link more than five accounts.

**IV. ELECTRONIC NOTIFICATION AGREEMENT**

To stop receiving paper account statements and/or trade confirmations or other documents as they may be made available (the "Documents"), you agree to accept and receive electronic notification that the Documents are available online for your retrieval (the "Service"). "You" means the account owner(s) and/or authorized user(s) of the account. "Authorized user" means a person that you have authorized with full access to your account and has been granted a user ID. By accepting electronic delivery of the Documents, you are agreeing to the electronic delivery of all notices, disclosures, and other information relating to your account that are communicated with or within the Documents.

The Documents will be available to you online via a service provided by Pershing LLC on behalf of your financial organization. You will be notified by electronic mail (e-mail) when these documents are available to be viewed online. The e-mail notification(s) will be sent to the e-mail address that you established when registering with this service. In the event of an e-mail notification failure as defined by Pershing, Pershing will terminate this arrangement and you will revert to receiving paper documents until such time that you re-enroll through the mydocumentsuite.com service.

By accepting this Agreement, you affirm that you have a valid e-mail address on record with your financial organization, have access to the Internet, and you are at least 18 years of age. You also affirm that you have installed Adobe Acrobat Reader version 4.0 or higher to view your Documents. You agree that electronic delivery of the Documents is deemed accepted, regardless of whether a particular document is accessed or viewed. You may print or save a copy of the Documents at any time. You may request a mailed copy of your Documents by calling your financial organization.

Your consent to accept electronic delivery of the Documents is effective until revoked by Pershing, your financial organization, or you. You may revoke your consent and resume receiving paper Documents by changing your delivery preferences online. You may also contact your financial organization directly for assistance.

You expressly agree and acknowledge that your use of the Service is at your sole risk. Neither Pershing nor its respective directors, officers, employees, agents, contractors, affiliates, information providers, or services warrant that the mydocumentsuite.com service will be uninterrupted or error free. Pershing does not warrant the timeliness, sequence, accuracy, completeness, reliability, or content of any information with respect to accessing electronic information. The service provided herein is on an "as is", "as available" basis and without warranties including, without limitation, those of merchantability, fitness for a particular purpose or non-infringement other than those warranties which are implied by and incapable of exclusion, restriction, or modification under the laws, rules and regulations applicable to this service.

You are responsible for maintaining the confidentiality of your user ID and password, and for restricting access to your computer, and you agree to accept responsibility for all activities that occur under your user ID or password.

You are agreeing to abide by the terms and conditions as they may be amended from time to time; amended terms will be posted on the web site. Your continued use of the site will constitute your acceptance of the then-current terms and conditions.

The terms and conditions of this Agreement set forth the entire understanding and agreement between us with respect to the subject matter hereof.

By signing this document, you acknowledge that you have carefully read, understand, and agree to these terms and conditions; represent and warrant that you are authorized to enter into this agreement; and indicate your informed and affirmative consent to the electronic delivery of the Documents, termination of paper copies of the Documents, and transmission of an electronic notice to a designated e-mail address(es) as the only notice sent when the Documents become available.

**V. SIGNATURES**

If you have chosen to receive brokerage account statements and/or trade confirmations electronically, by signing below you represent that you have read and understand Section IV, Electronic Notification Agreement.

Each account owner must sign this form.

<input type="text"/>	<input type="text"/>		
PRIMARY ACCOUNT NUMBER	ACCOUNT OWNER (PLEASE PRINT)	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	ACCOUNT OWNER (PLEASE PRINT)	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	ACCOUNT OWNER (PLEASE PRINT)	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	ACCOUNT OWNER (PLEASE PRINT)	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	ACCOUNT OWNER (PLEASE PRINT)	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

<b>FOR OFFICE USE ONLY</b>			
Provide access to:	<input type="checkbox"/> mydocumentsuite.com	<input type="checkbox"/> NetExchange Client	Profile: _____